

(On letterhead of Applicant)

A: Details of Directors/Designated Officers as on \_\_\_\_\_

| S.N. | Full Name | Designation@ | Date of Birth | Education | PAN | Director Identification Number (DIN) | Residential Address & Telephone Nos. | Designated directors/Officer (Yes/No) # | Shareholding in applicant entity |     |         | Directorships/controlling shareholding in other cos. (if yes, then mentioned name of entity) |
|------|-----------|--------------|---------------|-----------|-----|--------------------------------------|--------------------------------------|-----------------------------------------|----------------------------------|-----|---------|----------------------------------------------------------------------------------------------|
|      |           |              |               |           |     |                                      |                                      |                                         | No.                              | Amt | % total |                                                                                              |
| 1    |           |              |               |           |     |                                      |                                      |                                         |                                  |     |         |                                                                                              |
| 2    |           |              |               |           |     |                                      |                                      |                                         |                                  |     |         |                                                                                              |

B: Details of Shareholding pattern of the Applicant Entity as on \_\_\_\_\_

EQUITY / PREFERENCE (Please indicate and use separate tables for equity/preference shares and mention the shareholder in descending order of % holding)

A. Promoter Group

| Sr No.                      | Name of the Promoter | Number of shares held | Face value per share | Amt Paid up | % of total | PAN | CIN |
|-----------------------------|----------------------|-----------------------|----------------------|-------------|------------|-----|-----|
| 1                           |                      |                       |                      |             |            |     |     |
| 2                           |                      |                       |                      |             |            |     |     |
| Others                      |                      |                       |                      |             |            |     |     |
| TOTAL of (A) Promoter Group |                      |                       |                      |             | %          |     |     |

B. Non-Promoter Group

| Sr No.                          | Name of the Non-Promoter | Number of shares held | Face value per share | Amt Paid up | % of total | PAN | CIN |
|---------------------------------|--------------------------|-----------------------|----------------------|-------------|------------|-----|-----|
| 1                               |                          |                       |                      |             |            |     |     |
| 2                               |                          |                       |                      |             |            |     |     |
| Others                          |                          |                       |                      |             |            |     |     |
| TOTAL of (B) Non-Promoter Group |                          |                       |                      |             | %          |     |     |
| TOTAL of (A) & (B)              |                          |                       |                      |             | 100%       |     |     |

Date:

Place:

Name & Signature of Designated Director / Authorised Signatory (ies)  
Stamp of company

C: Details of Shareholding pattern of the \_\_\_\_\_ as on \_\_\_\_\_  
[in below format separately for all the persons falling within the verticals of applicant, both from bottom to top (e.g. holding co./Ultimate holding co.)]

**EQUITY / PREFERENCE** (Please indicate and use separate tables for equity/preference shares and mention the shareholder in descending order of % holding)

**A. Promoter Group**

| Sr No.                             | Name of the Promoter | Number of shares held | Face value per share | Amt Paid up | % of total | PAN | CIN |
|------------------------------------|----------------------|-----------------------|----------------------|-------------|------------|-----|-----|
| 1                                  |                      |                       |                      |             |            |     |     |
| 2                                  |                      |                       |                      |             |            |     |     |
| Others                             |                      |                       |                      |             |            |     |     |
| <b>TOTAL of (A) Promoter Group</b> |                      |                       |                      |             | %          |     |     |

**B. Non-Promoter Group**

| Sr No.                                 | Name of the Non-Promoter | Number of shares held | Face value per share | Amt Paid up | % of total | PAN | CIN |
|----------------------------------------|--------------------------|-----------------------|----------------------|-------------|------------|-----|-----|
| 1                                      |                          |                       |                      |             |            |     |     |
| 2                                      |                          |                       |                      |             |            |     |     |
| Others                                 |                          |                       |                      |             |            |     |     |
| <b>TOTAL of (B) Non-Promoter Group</b> |                          |                       |                      |             | %          |     |     |
| <b>TOTAL of (A) &amp; (B)</b>          |                          |                       |                      |             | 100%       |     |     |

Date:  
Place:

Name & Signature of Designated Director / Authorised Signatory (ies)  
Stamp of company

Legends:

|   |                                                                                                                                                                                                                                                                            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| @ | Please clearly state whether the Director is a Managing Director or Whole-time Director or Executive Director or Non-Executive Director or Executive Chairman or Non-Executive Chairman                                                                                    |
| # | Identify designated directors (A designated director is one who is a HSC by qualification (or CA, ICWA or CS or equivalent) and possesses experience pertaining to financial market. They, essentially, look after the stock market operations of a trading member entity. |

**NOTES:**

1. In case of all bodies corporate in the dominant promoter group of the trading member entity, the details of their shareholding shall also be furnished in the same format as given above.
2. In case of HUF, the details of Karta and co-parceners to be provided.
3. Persons holding 2% or more of the paid up capital should be shown separately and not clubbed in others.

**Date:**

**Place:**

**Name & Signature of Designated Director / Authorised Signatory (ies)**  
**Stamp of company**